

कॉन/आइआरसी/SE/104/Vol-VII/

दिनांक : 20.04.2021

Sub: Report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

With reference to the provisions of SEBI (Prohibition of Insider Trading Regulations), 2015 (SEBI PIT Regulations) and CONCOR's Code of Conduct for Regulating and Reporting Trading by Insiders and for Fair Disclosure, 2015 (Code of Conduct), we are hereby furnishing the report in respect of the subject matter.

It is hereby informed that two employees of the Company, who are Designated Persons (DPs), has informed vide letters dated 01.02.2021 and 02.02.2021 about the above subject matter stating that the default(s) happened inadvertently as they were not fully well versed with the provisions of the Code. They have also informed that this was the first incidence of non-compliance and any gain(s) earned on account of contra-trade, would be deposited with the Company.

The above matter was placed before Audit Committee and Board of Directors in their respective meetings and it was decided that caution letters be issued for same and the gains made on the trade be collected and be deposited with the designated fund and intimation/replies to Stock Exchanges in the requisite format be furnished by the Company.

Accordingly, caution letters were issued to the DPs and the gains made were collected. The gains collected (Rs.10,155/- + Rs.99/- = Rs.10,254/-) have been credited to SEBI IPEF fund on 05.04.2021 vide UTR No. N095211463467998. In this regard, the separate disclosures for each DP, as prescribed vide SEBI Circular dated July 23, 2020 are enclosed as Annexure – A(I) & A(II).

This is for your kind information and record.

**Report by Container Corporation of India Limited for violations
related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.**

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Container Corporation of India Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input checked="" type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	<input type="checkbox"/> Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Shri Paresh Aggarwal
	ii. PAN of the DP	AETPA7315P
	iii. Designation of DP	Sr.GM (Vigilance)
	iv. Functional Role of DP	Shri Paresh Aggarwal is looking after Vigilance Functions is the Company.
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Symbol: CONCOR ISIN: INE111A01025
	ii. No of shares traded and value (Rs.) (Date- wise)	As per Note-1.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/ director/ promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA

4	Details of violations observed under Code of Conduct	Trade during Trading Window Closure period, entering into Contra Trade, Not seeking pre-clearance and not furnishing statement of transactions within stipulated time.												
5	Action taken by Listed company/ Intermediary/ Fiduciary	It was decided by Board of Directors that caution letter be issued and the gains made on the trade be collected and be deposited with the designated fund and intimation/replies to Stock Exchanges in the requisite format be furnished by the Company.												
6	Reasons recorded in writing for taking action stated above													
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/ payment													
	In case of Online:													
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9	Any other relevant information	NA												

Note -1: Buy - 04.09.2020 - 100 Shares for Rs. 39,289.65
Buy - 16.10.2020 - 200 Shares for Rs. 73,210/-
Sell - 20.11.2020 - 300 Shares for Rs.1,22,655/-
Net Gain Deposited by DP - Rs.10,155/-

Yours faithfully,
For Container Corporation of India Limited

sd/-

Date : 20.04.2021
Place : New Delhi

(Harish Chandra)
ED (Finance) & CS
harish@concorindia.com

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3	A. Details of Designated Person (DP)	
	i. Name of the DP	Shri Shobhit Goyal
	ii. PAN of the DP	BFSPG6269K
	iii. Designation of DP	AM (F&A)- Area-II
	iv. Functional Role of DP	Shri Shobhit Goyal is AM (F&A) - Area-II working in Finance & Accounts Department.
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
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	C. Details of transaction(s)	
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4	Details of violations observed under Code of Conduct	Entering into Contra Trade and not furnishing statement of transactions within stipulated time.												
5	Action taken by Listed company/ Intermediary/ Fiduciary	It was decided by Board of Directors that caution letter be issued and the gains made on the trade be collected and be deposited with the designated fund and intimation/replies to Stock Exchanges in the requisite format be furnished by the Company.												
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9	Any other relevant information	NA												

Note -1 : Buy - 13.11.2020 – 3 Shares for Rs. 1,138.35
Sell - 04.12.2020 – 3 Shares for Rs. 1,236.60
Net Gain Deposited by DP – Rs. 99/-

Yours faithfully,
For Container Corporation of India Limited

sd/-

Date : 20.04.2021
Place : New Delhi

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ED (Finance) & CS
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